

# Broad-Based Black Economic Empowerment Commission



## Compliance Report by Sphere of Government / Public Entities / Organs of State

(in terms of Section 13G (2) of the Act)

Case Number

FORM: BBEE 1

### SECTION A: DETAILS OF ENTITY

Name of Entity / Organisation	GAIA INFRASTRUCTURE CAPITAL LIMITED
Registration Number	2015/11537/06
Physical Address	Penthouse 5, 3 <sup>rd</sup> Floor, 4 The High Street, Melrose Arch, 2196
Telephone Number	011 684 1230
Email Address	icadmin@gaia-ic.com
Indicate Type of Entity / Organisation	Listed Investment Holding Company
Industry / Sector	Listed Infrastructure Investment
Relevant Code of Good Practice	B-BBEE Amended Codes
Name of Verification Agency	Renaissance SA Ratings
Name of Technical Signatory	Tebogo Masenya

### SECTION B: INFORMATION AS VERIFIED BY THE BROAD-BASED BLACK ECONOMIC EMPOWERMENT VERIFICATION PROFESSIONAL AS PER SCORECARDS

B-BBEE Elements	Target Score Including	Bonus Points	Actual Score Achieved
Ownership	e.g. 25 points		20
Management Control	e.g. 19 points		3
Skills Development	e.g. 20 points		-
Enterprise and Supplier Development	e.g. 40 points		10.9
Socio-Economic Development	e.g. 5 points		-
Total Score	e.g. 109 points		33.9
Priority Elements Achieved	YES / NO and specify them	Ownership	
Empowering Supplier Status	YES / NO and specify them		
Final B-BBEE Status Level	Non-compliant		

\*indicate how each element contributes to the outcome of the scorecard

**SECTION C: FINANCIAL REPORT**

**1. BASIC ACCOUNTING DETAILS:**

- a. Accounting Officer's Name:
- b. Address:
- c. Accounting Policy: (*Your accounts are done?*)

Weekly	Monthly	Other (specify)
	X	
- d. Has the attached Financial Statements and Annual Report been approved by the entity?


**2. PLEASE ATTACH THE FOLLOWING:**

- a. Copy of Annual Financial Statement including Balance Sheet and Income and Expenditure Report.

- i) Annual Report

- b. Entity Annual Turnover:

**c. Sign-off and Date**

 _____ <b>Signature</b>	<b>1/8/2018</b> _____ <b>Date</b>
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